



1fa

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrey B. Matsko et al. Art Unit: 2874
Serial No.: 10/772,087
Filed : February 3, 2004
Title : ELECTRO-OPTICAL MODULATION AND OTHER OPTICAL
APPLICATIONS USING POLED OPTICAL WHISPERING GALLERY
MODE RESONATORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information
Disclosure Statement and documents listed on form PTO-1449.

Each enclosed document listed on the herewith Form PTO-1449
was cited in the attached PCT Search Report dated September 27,
2004, issued in a foreign counterpart application not more than
three months ago. To the best knowledge of the undersigned,
this is the first citation of these items in any communication
from a foreign patent office in a counterpart foreign
application.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this
correspondence is being deposited with the
United States Postal Service as first class mail
with sufficient postage on the date indicated
below and is addressed to the Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-
1450.

December 10, 2004

Date of Deposit


Signature

S. Gebhart

Name of Person Signing Certificate

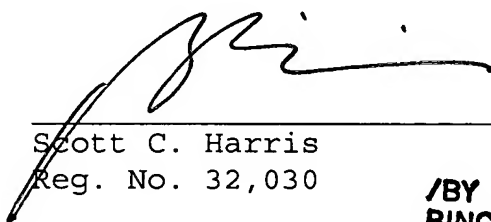
The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any applicable charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

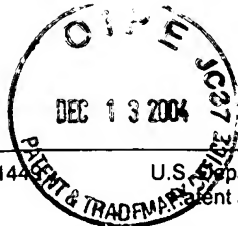
Date: December 10, 2004



Scott C. Harris
Reg. No. 32,030

**/BY
BING AI
REG. NO. 43,312**

Fish & Richardson P.C.
USPTO Customer No. 20985
12390 El Camino Real
San Diego, CA 92130
Telephone: (858) 678-5070
Facsimile: (858) 678-5099



Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-927001	Application No. 10/772,087
	Applicant Andrey B. Matsko et al.		
	Filing Date February 3, 2004	Group Art Unit 2874	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2003/01585490	10/2/2003	Lopes et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AI							
	AJ							
	AK							
	AL							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AM	Kuwata-Gonokami, M., "Laser Emission From Dye-Doped Polystyrene Microsphere", LEOS '93 Conference Proceedings, Lasers And Electro-Optics Society 1993 Annual Meeting, November 1993, pages 300-301
	AN	Anderson, D.Z. et al., "Low-Order Whispering-Gallery Modes of a BaTiO ₃ Disk", Summaries of Papers Presented at 1999 Conference on Lasers and Electro-Optics (CLEO '99), May 1999, pages 541-542
	AO	Lacey, S. et al., "Deformed glass Microsphere As A Three-Dimensional Chaotic Optical Microresonator", Summaries of Papers Presented at 2001 Quantum Electronics and Laser Science Conference (QELS '01 Technical Digest), May 2001, page 65
	AP	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	